

ATTORNEY DOCKET NO.
021768.1152

05-01-03
EP: -2131
PATENT #3 BT
Serial No. 10/040,573



1

5-15-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Charles S. Fenton et al.
Serial No.: 10/040,573
Filing Date: November 2, 2001
Group Art Unit: 2131
Title: METHOD AND SYSTEM FOR SECURE
COMMUNICATION

RECEIVED
MAY 02 2003
Technology Center 2100

Honorable Assistant Commissioner
for Patents
Washington, D.C. 20231

Dear Sir:

"EXPRESS MAIL"

Express Mailing Label Number
192226527 US
Date of Deposit April 29, 2003
I hereby certify that this paper or fee is
being deposited with the United States
Postal Service "Express Mail Post
Office to Addressee" service under 37
CFR 1.10 on the date indicated above
and is addressed to the Assistant
Commissioner for Patents,
Washington, D.C. 20231.
Willie Jiles
Willie Jiles

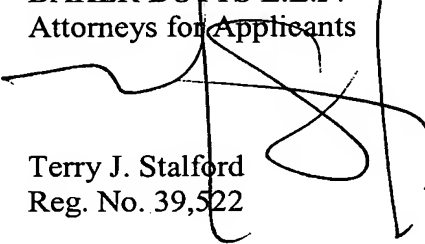
INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. § 1.97(h), no representation is made that these references qualify as prior art or that these references are material to the patentability of the present application.

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than thirty (30) days prior to the filing of this Information Disclosure Statement.

Pursuant to 37 C.F.R. § 1.97(c), Applicants believe that this Information Disclosure Statement has been filed before the mailing date of the first Office Action. Therefore, Applicants believe that no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,
BAKER BOTTS L.L.P.
Attorneys for Applicants

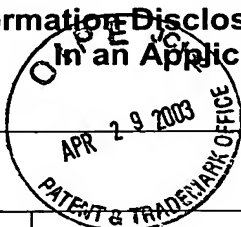

Terry J. Stalford
Reg. No. 39,522

CORRESPONDENCE ADDRESS

2001 Ross Avenue, Suite 600
Dallas, Texas 75201-2980
(214) 953-6477

Date: April 29, 2003

PTO-1449 Information Disclosure Citation In an Application	Application No. 10/040,573	Applicant(s) Charles S. Fenton et al.	
	Docket Number 021768.1152	Group Art Unit 2131	Filing Date November 2, 2001



U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A						
	B						
	C						
	D						
	E						
	F						
	G						
	H						
	I						
	J						
	K						
	L						

RECEIVED
MAY 02 2003
 Technology Center 2100

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	M	0 442 838 A2	08/21/91	EPO	G06F	1/00	X	
	N	WO 98/21683	05/22/98	PCT	G06K		X	
	O							
	P							
	Q							

NON-PATENT DOCUMENTS

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	R	Marshall, I.W. and Roadknight, C., "Adaptive Management of an Active Service Network", BT Technol J, Vol. 18, No. 4, pp 78-84	10/2000
	S	Brooks, Charles, et al, "Application-Specific Proxy Servers as HTTP Stream Transducers", Internet Citation http://www.w3.org/Conferences/WWW4/Papers/56/ , 9 pages	12/01/1995
	T	PCT International Search Report for PCT US02/34834, 7 pages	04/18/2003

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.